

MAY 18 2001 THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Scott R. Jeffrey et al.

Application No. 09/748,105 : Art Unit: 2176

Filed: December 27, 2000 : Examiner: TBA

For: DOCUMENT MANAGEMENT SYSTEM

RESPONSE TO "NOTICE TO FILE MISSING PARTS"

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION—
FILING DATE GRANTED** dated February 20, 2001, Applicant submits herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts;
2. Declaration and Power of Attorney executed by S. Jeffrey and G. O'Gwen;
3. Declaration and Power of Attorney executed by B. Hornsby, K. McBryde, W. Powell, and T. Rizk
4. Fee Transmittal with authorization to charge Deposit Account No. 19-5127, \$578.00 for the filing fee, additional claims fee, additional independent claim fee, surcharge for late filing of Declaration, and one month extension of time fee.

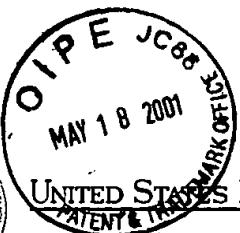
The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment to Deposit Account No. 19-5127, Order No. 19387.0003.

Respectfully submitted,
Swidler Berlin Shreff Friedman, LLP


Eric J. Franklin
Reg. No. 37,134

Date: May 18, 2001

Swidler Berlin Shreff Friedman, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007
Tel: (202) 424-7500
Fax: (202) 424-7647



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,105	12/27/2000	Scott R. Jeffrey	19482.0002

CONFIRMATION NO. 4144

23517
SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K STREET, NW
BOX IP
WASHINGTON, DC 20007

FORMALITIES LETTER



OC000000005777116

Date Mailed: 02/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/21/2001 MABDI1 00000094 195127 09748105 FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 CH
02 FC:202 40.00 CH
03 FC:203 63.00 CH
04 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$206.
 - \$126 for 7 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing..
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1046.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a

bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Bfj

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

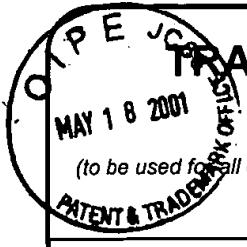
Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

~~Section 2176~~



~~TRANSMITTAL
FORM~~

(to be used for all correspondence after initial filing)

 TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>  		Application Number	09/748,105
		Filing Date	December 27, 2000
		First Named Inventor	Scott R. Jeffrey
		Group Art Unit	2176
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	19482.0002

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement PTO 1449, 5 refs. <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Statement Claiming Small Entity Status <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): copy of Notice to File Missing Parts, 2 Declarations and 2 Powers of Attorney
	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric J. Franklin, RN 37,134 Swidler Berlin Shereff Friedman, LLP
Signature	
Date	May 18, 2001

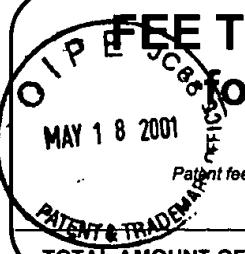
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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 <h1>FEET TRANSMITTAL</h1> <h2>for FY 2001</h2> <p>MAY 18 2001</p> <p>Patent fees are subject to annual revision.</p>		Complete If Known	
		Application Number	09/748,105
		Filing Date	December 27, 2000
		First Named Inventor	Scott R. Jeffery
		Examiner Name	
		Group / Art Unit	2176
TOTAL AMOUNT OF PAYMENT (\$ 578		Attorney Docket No. 19482.0002	

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				3. ADDITIONAL FEES			
Deposit Account Number 195127, Order # 19482.0002				Large Entity Fee Code 105 127	Small Entity Fee Code 205 227	Fee 65 25	Fee Description Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet.
Deposit Account Name Swidler Berlin Shereff Friedman, LLP				139 147 112 113	130 2,520 920* 113	139 147 920* 1,840*	Non-English specification For filing a request for reexamination Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				115 116 117 118	110 390 890 1,390	215 216 217 218	55 195 445 695
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				128 119 120 121 138 140 141 142 143 144 122 123 126 581 146 149 179 169	1,890 310 310 270 1,510 110 1,240 1,240 440 600 130 130 180 40 710 710 710 900	228 219 220 221 138 240 241 242 243 244 122 123 126 581 246 249 279 169	945 155 155 135 1,510 55 620 620 220 300 130 130 180 40 355 355 355 900
FEE CALCULATION				Fee Description			
1. BASIC FILING FEE				Fee Paid 355			
Large Entity Small Entity Fee Code Fee Fee Code Fee 101 710 201 355 Utility filing fee 106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee 114 150 214 75 Provisional filing fee							
SUBTOTAL (1) (\$ 355							
2. EXTRA CLAIM FEES				Fee Description			
Total Claims 27 -20** = 7 X 9 = 63 Independent Claims 4 -3** = 1 X 40 = 40 Multiple Dependent X = 0				Extra Claims Fee from below Fee Paid			
Large Entity Small Entity Fee Code Fee Fee Code Fee 103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid ** Reissue independent claims over original patent 109 80 209 40 ** Reissue claims in excess of 20 and over original patent 110 18 210 9				Fee Description 355 355 355 355 355 355 355 355 355			
SUBTOTAL (2) (\$ 103				Other fee (specify) _____			
**or number previously paid, if greater; For Reissues, see above				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 120			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Eric J. Franklin	Registration No. Attorney/Agent)	37,134
Signature			Date
			May 18, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Atty Docket: 19482.0002



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Scott R. Jeffrey et al.

Application No. 09/748,105

Art Unit: 2176

Filed: December 27, 2000

Examiner: -

For: DOCUMENT MANAGEMENT
SYSTEM

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith 44 sheets of formal drawings for Figures 1-34.

Approval of the drawings is respectfully requested.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Eric J. Franklin".

Eric J. Franklin, Reg. No. 37,134
Swidler Berlin Shreff Friedman, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007-5116
202 424-7500

Date: 5-18-01